

Analyzing the Performance of Vector Databases

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About Me

- 3rd yr. PhD Student @ UC Santa Cruz
- Research Areas
 - Databases and Data Management
 - Programmable Storage Systems
 - Hardware Software Co-Design
- Working with the <u>Centre for Research in Systems and Storage</u>, UCSC
- Publications
 - Popper (CANOPIE-HPC '20)
 - Skyhook (CCGrid '22)
 - Apache Arrow Datafusion (SIGMOD '24)
- Internships
 - Princeton University (IRIS-HEP); Fall 2020, Winter 2021, Spring 202
 - InfluxData (InfluxDB); Summer 2023
 - NVIDIA (RAPIDs Team); Summer 2024



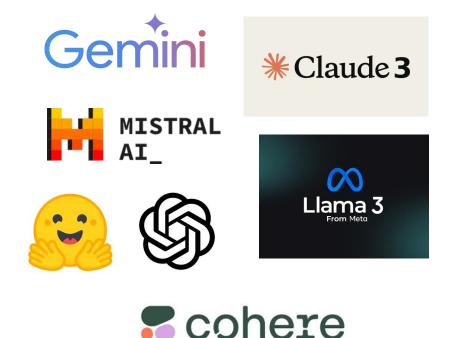
Background

Large Language Models (LLMs)

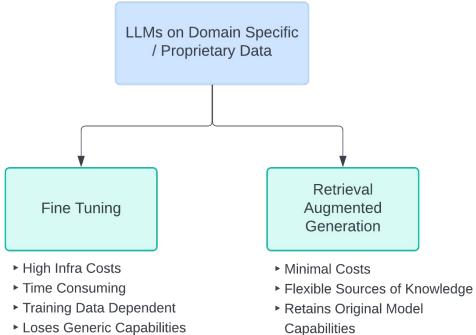
Massive "**Transformer-Decoder**" models trained on large amounts of data

Specifically focussed on language understanding and generation

Used for question answering, summarization, content creation, code development, translation, etc



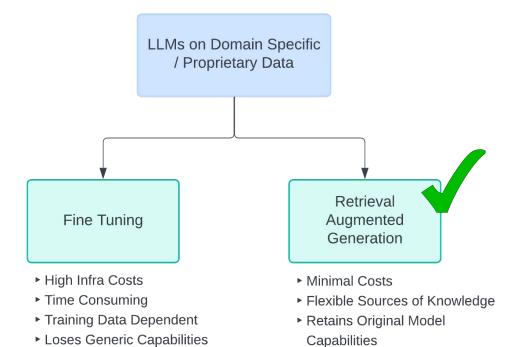
Large Language Models (LLMs)



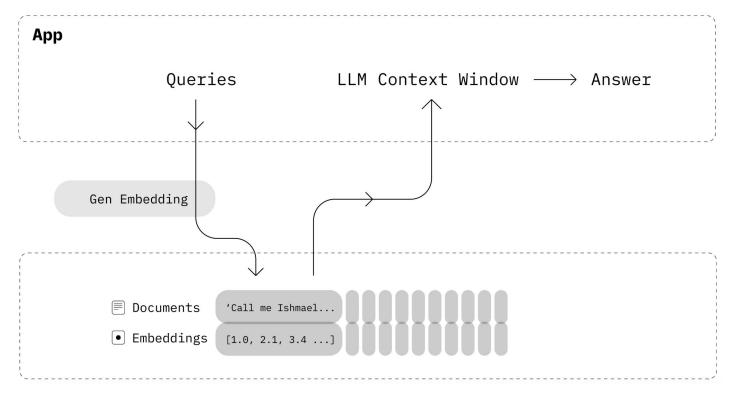
Loses Generic Capabilities

5

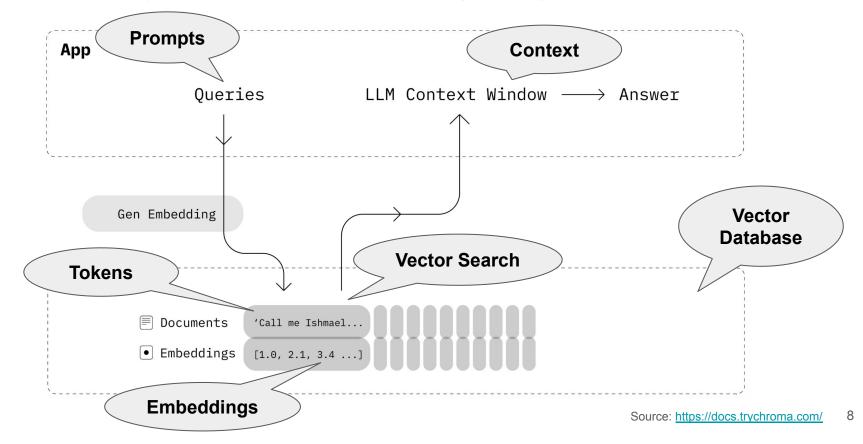
Large Language Models (LLMs)



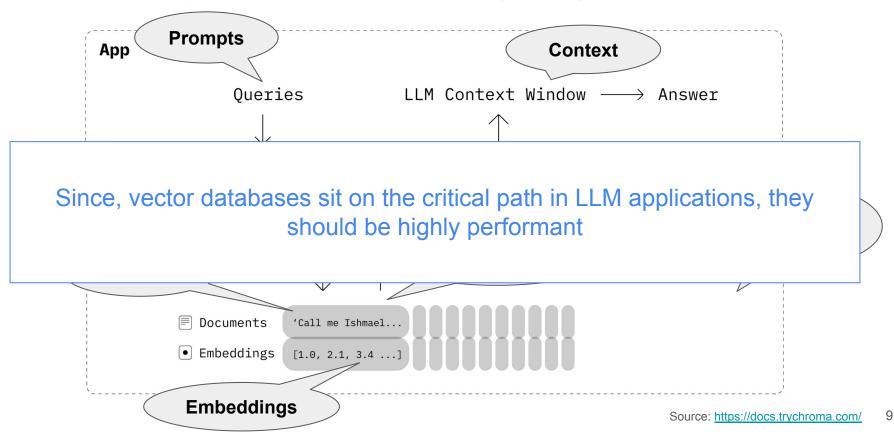
Retrieval Augmented Generation (RAG)



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Retrieval Augmented Generation (RAG)

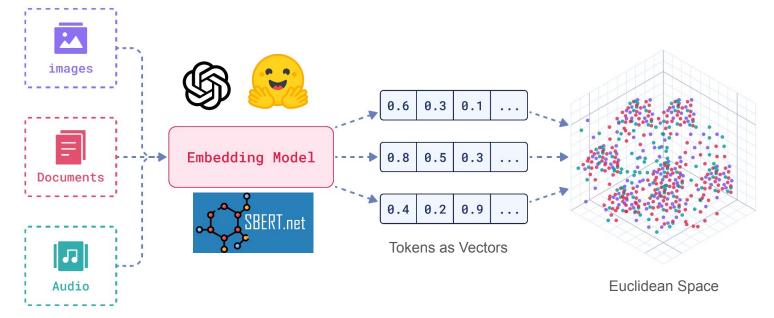


Tokens / Tokenization

- Tokens are the basic units of data processed by an LLM
 - > Words
 - > Subwords
 - > Sentences
- Tokenization is the process of splitting large corpus of texts into smaller pieces or tokens
- Recommendations for Tokenization
 - Simple searches, smaller chunks; Complex searches, larger chunks
 - Larger chunks = More Context = But less tokens that fit in the LLM context window
- Examples of Tokenizers
 - ➢ <u>nltk.tokenize</u>
 - Hugging Face Tokenizer API
 - BertTokenizer and AutoTokenizer from transformers

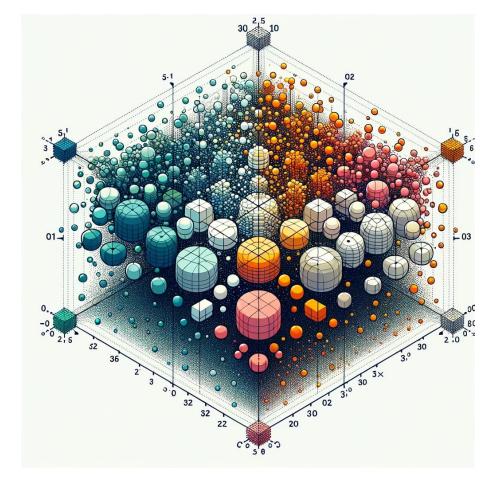


Embeddings



Tokens from Multimodal Data

Vector embeddings when laid out in a multi-dimensional space form clusters of **semantically similar** tokens



Top Embedding Models

Retrieval English leaderboard 🔎

• Metric: Normalized Discounted Cumulative Gain @ k (ndcg_at_10)

Languages: English

Metric: Normalized Discounted Cumulative Gain (NDCG)

Rank 🔺	Model 🔺	Model Size (Million ▲ Parameters)	Memory Usage (GB, fp32)	Average 🔺	ArguAna 🔺	ClimateFEVER 🔺	CQADupstackRetrieval 🔺	DBPedi
1	Ling-Embed-Mistral	7111	26.49	60.19	69.65	39.11	47.27	51.32
2	NV-Embed-v1			59.36	68.2	34.72	50.51	48.29
3	SFR-Embedding-Mistral	7111	26.49	59	67.17	36.41	46.49	49.06
4	<pre>voyage-large-2-instruct</pre>			58.28	64.06	32.65	46.6	46.03
5	<u>gte-large-en-v1.5</u>	434	1.62	57.91	72.11	48.36	42.16	46.3
6	<u>GritLM-7B</u>	7242	26.98	57.41	63.24	30.91	49.42	46.6
7	<u>e5-mistral-7b-instruct</u>	7111	26.49	56.89	61.88	38.35	42.97	48.89
8	LLM2Vec-Meta-Llama-3-supervis	7505	27.96	56.63	62.78	34.27	48.25	48.34
9	voyage-lite-02-instruct	1220	4.54	56.6	70.28	31.95	46.2	39.79
10	<u>SE_v1</u>			56.55	61.42	30.33	49.94	49.03
11	g <u>te-Qwen1.5-7B-instruct</u>	7099	26.45	56.24	62.65	44	40.64	48.04

Scale of Embeddings

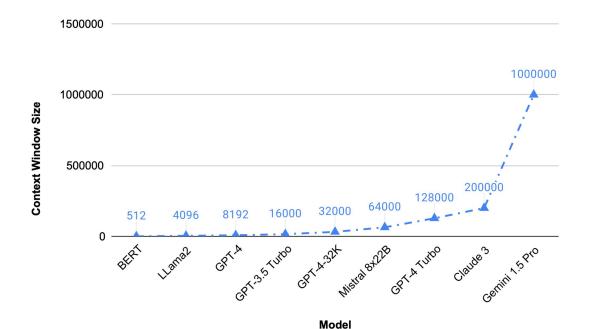
- OpenAl
 - text-embedding-3-small: 1536 dims
 - 1536 * 4 bytes = 6 KB
 - 6 KB * 1B = 6 TB
 - 6 KB * 1T = 6 PB
 - ➢ text-similarity-davinci-001: 12288 dims
 - 12288 * 4 bytes = 49 KB
 - 49 KB * 1B = **49 TB**
 - 49 KB * 1T = **49 PB**



Huge DRAM capacities required for processing billion / trillion scale vector datasets

Context Windows in LLMs

The maximum amount of text in the form of "tokens" that an LLM can consider at any given time while generating a response



Context Windows in LLMs

The maximum amount of text in the form of "tokens" that an LLM can consider at any given time while generating a response

1500000

Leave No Context Behind: Efficient Infinite Context Transformers with Infini-attention

Tsendsuren Munkhdalai, Manaal Faruqui and Siddharth Gopal Google tsendsuren@google.com



Model

Vector Databases

- Indexes and stores high-dimensional vector embeddings and tokens for fast similarity searches and retrieval
- Consistency guarantees, multi-tenancy, cloud-native, CRUD, logging and recovery, serverless, etc

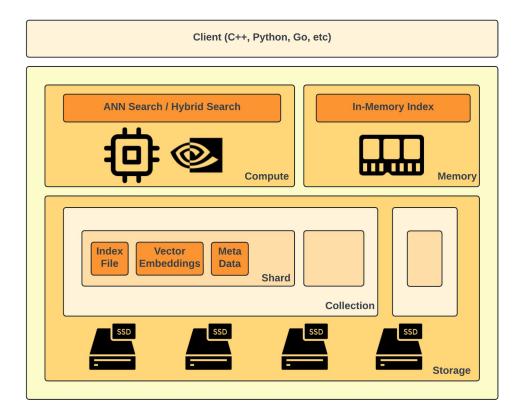


Vector Databases

How do vector databases compare to relational databases ?

	Relational Database	Vector Database
Indexing	B/B+ Tree, LSM-Tree	HNSW, IVF, LSH
Compute	Filter, Project, Aggregate, Sort	ANN, KNN, Hybrid Search
Data Access	Index loaded page-by-page	Entire index in memory
Query Interface	SQL, JDBC, ODBC	Python, C++, REST APIs
Performance Metric	Transactions / Second	Queries / Second, Recall

Architecture of Vector Databases



Types of Vector Databases

- Client-Server
 - Milvus, Qdrant, Weaviate
- Embedded
 - ➤ LanceDB, Chroma, DeepLake
- Extensions
 - PGVector, DuckDB Vector, Redis Vector Search
- Libraries
 - ➢ FAISS, HNSWLIB, usearch, NVIDIA Raft (CAGRA)

Indexing Algorithms in Vector Databases

Pinecone Proprietary composite index () milvus / * zilliz Flat, Annoy, IVF, HNSW/RHNSW (Flat/PQ), DiskANN Weaviate ······ Customized HNSW, HNSW (PQ), DiskANN (in progress...) **adrant** Customized HNSW chroma HNSW LanceDB IVF (PQ), DiskANN (in progress...) 🚽 vespa ······ HNSW + BM25 hybrid Vald NGT elasticsearch Flat (brute force), HNSW Redis Flat (brute force), HNSW pgvector IVF (Flat), IVF (PQ) in progress... HNSW

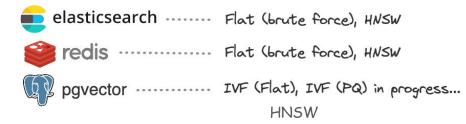
Indexing Algorithms in Vector Databases

Proprietary composite index

 Image: milvus / * zilliz
 Flat, Annoy, IVF, HNSW/RHNSW (Flat/PQ), DiskANN

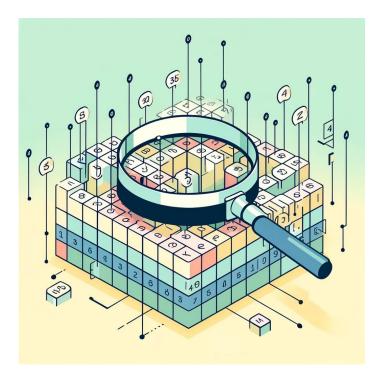
 Weaviate
 Customized HNSW, HNSW (PQ), DiskANN (in progress...)

HNSW is the Most Widely Supported Indexing Algorithm



Vector Search

- Finding tokens similar to a query using nearest neighbor searches
- Traditionally, KNN has been used
 - But on billions / trillions of data points, not feasible
- Using ANN (Approximate Nearest Neighbor) algorithms allows trading off accuracy for search speed
- Use Cases
 - Retrieval Augmented Generation, Recommendation Models, Classification, Clustering

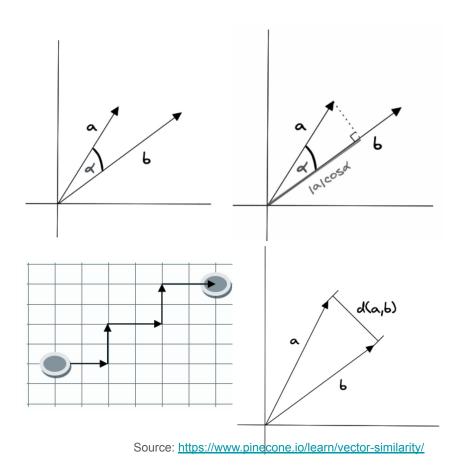


Hybrid Search

- Hybrid search combines the results of a vector search and a keyword search by fusing the two result sets
- Uses inverted index for keyword lookups and vector index for vector similarity searches
- How does it work ?
 - First, both vector and keyword search are run independently on the dataset to generate unique top K result sets
 - Then, the above two lists are combined in a single unified list using a special re-ranking algorithm
 - RRF (Reciprocal Rank Fusion): Give more weight to the top-ranked item in each individual list when building the fused list
 - Example: If a record X is ranked 3 in one list and 9 in the other, then its score would be 1/3 + 1/9 = 0.444
 - > The items are ranked in descending order to their scores in the fused list

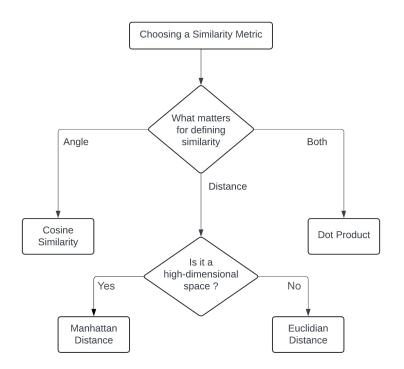
Vector Similarity Metrics

- Cosine Similarity
- Dot Product
- Manhattan Distance (L1)
- Euclidean Distance (L2)



Vector Similarity Metrics

- Choosing a metric ?
 - To define similarity b/w two vectors, follow the chart given here
 - For indexing, use the similarity metric used to train your embedding model
 - For searches, use the similarity metric used to create your index
 - Calculation Speed
 - Manhattan > Cosine > Dot
 Product > L2

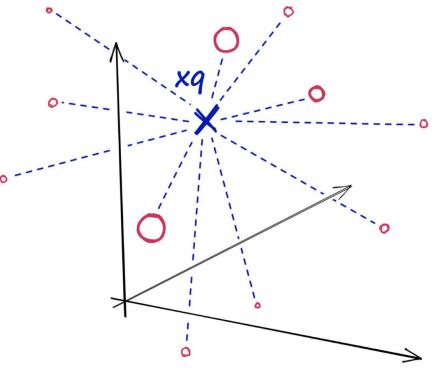


Vector Indexing Algorithms

- Flat
- IVF or Inverted File Index (clustering-based)
- LSH or Locality Sensitive Hashing (hashing-based)
- HNSW or Hierarchical Navigable Small Worlds (graph-based)
- Others
 - Microsoft DiskANN
 - Spotify ANNOY
 - ➤ Google ScaNN
 - ➢ NVIDIA Cagra

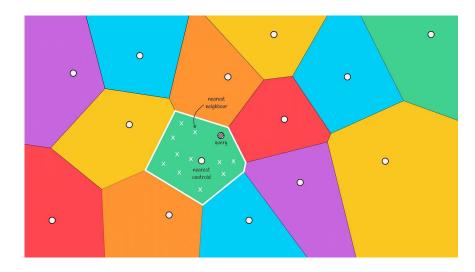
Flat Index

- Vectors simply laid out in space
- Perform simple K-nearest neighbors search
- Search duration grows linearly, but provides accurate results



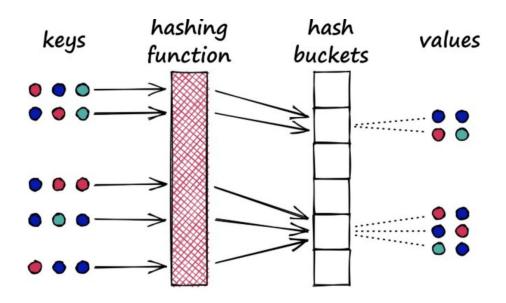
Inverted File Index (IVF)

- Cluster similar vectors using K-Means
- Find the centroid nearest to the query vector, then zoom into the cluster, and search for K-nearest neighbors
- Parameters
 - > n_list: Number of cluster to create
 - > n_probe: Number of nearest clusters
 to search



Locality Sensitive Hashing (LSH)

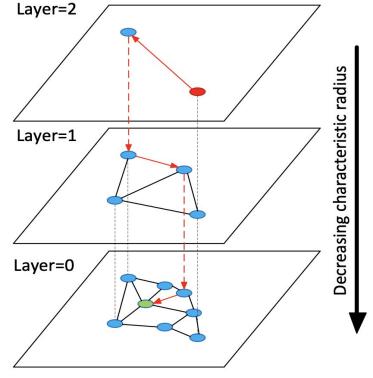
- Hash input vectors so that similar vectors land in the same bucket with high probability
- Query is hashed using the same hash function into the closest buckets within which KNN is performed
- Parameters
 - nbits: No. of bits used per stored vector (The number of hash buckets would be 2^{nbits})



Hierarchical Navigable Small World (HNSW)

Search

- We start from the top layer by picking a node as the entrypoint
- Compare its neighbors with the query vector and move the closest neighbor
- Once we find a local minima, we move to the exact node in the next layer and start the search from there
- The local minima that we find in the last layer is the nearest neighbor of our query vector
- Parameters
 - > ef_search: The number of nearest
 neighbors searched for in every layer

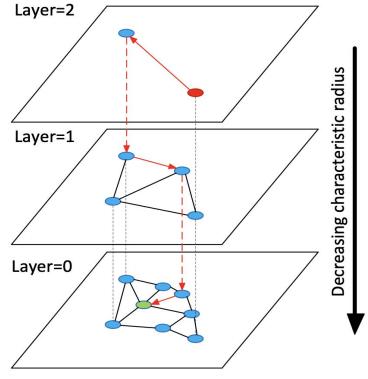


Hierarchical Navigable Small World (HNSW)

- Construction
 - Calculate a layer (L) for the incoming node using a probabilistic function
 - Assign the node to all the layers starting from L to 0
 - Run the search algorithm from the topmost layer, and connect the new node with its nearest neighbors

Parameters

- > ef_construction: The number of nearest neighbors searched for in every layer
- M: The maximum degree allowed for any node



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Benchmarking Vector Databases

Popular Datasets

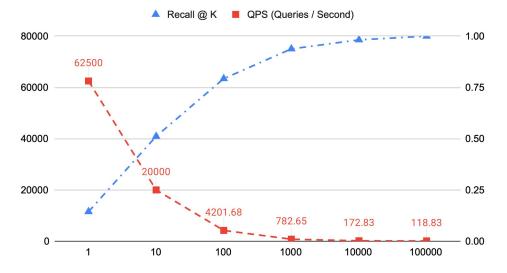
Dataset	Dimensions	Train Set	Test Set	Distance Metric
DEEP1B	96	1B	10K	Cosine
<u>SIFT 1M / 1B</u>	128	1M / 1B	10K	Euclidean
<u>GIST</u>	960	1M	1K	Euclidean
<u>GloVe</u>	25	1.2M	10K	Cosine
dbpedia-openai	1536	1M	Need to split	Cosine

Frameworks / Examples

- ANN Benchmarks
- Qdrant Vector DB Benchmarks
- Ziliz VectorDBBench

Metrics for Comparison

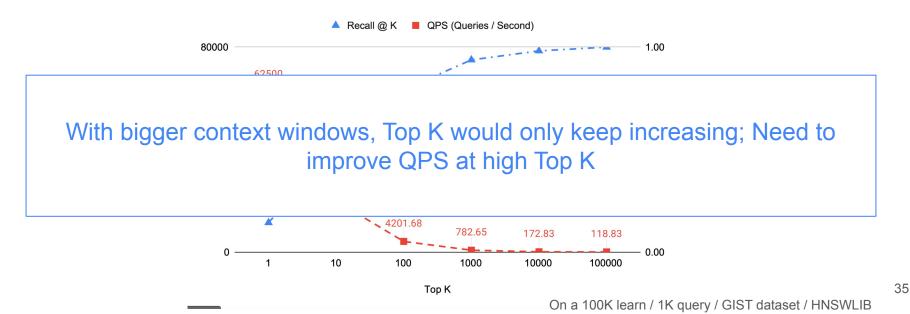
- Queries / Second (QPS)
- ✤ Recall @ K
 - > # of *true* K-nearest neighbors / K



On a 100K learn / 1K query / GIST dataset / HNSWLIB

Metrics for Comparison

- Queries / Second (QPS)
- Recall @ K
 - > # of *true* K-nearest neighbors / K

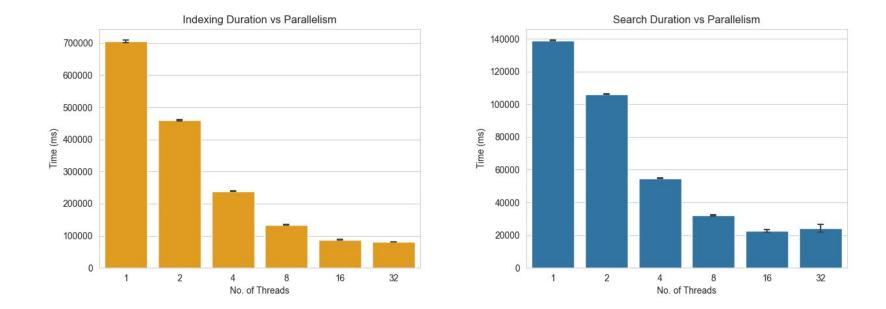


Performance Comparison of Indexing Techniques

Index	Memory (MB)	Query Time (ms)	Recall @ 10
Flat (L2 or IP)	~500	~18	1.0
LSH	20 - 600	1.7 - 30	0.4 - 0.85
HNSW	600 - 1600	0.6 - 2.1	0.5 - 0.95
IVF	~520	1 - 9	0.7 - 0.95

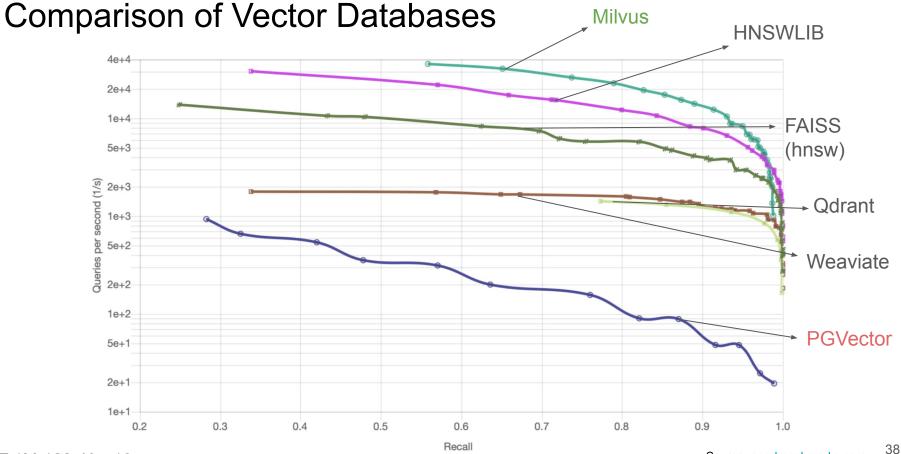
HNSW is the fastest algorithm with efficient memory usage and high recall

Effect Of Parallelism on HNSW



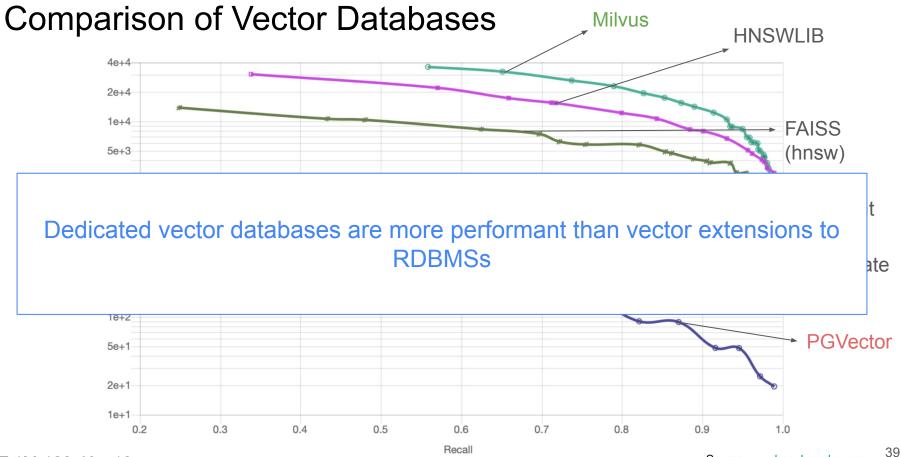
Indexing and Search are **parallelizable** operations in HNSW

GIST-1M-960 / HNSWLIB



SIFT-1M-128; K = 10

Source: ann-benchmarks.com



SIFT-1M-128; K = 10

Source: ann-benchmarks.com

Profiles

(HNSWLIB, Qdrant, PGVector)

Machine Information

- Hardware
 - > Processor
 - Dual Socket Intel Xeon Silver 4114 CPU @ 2.20 GHz
 - 10 Cores / Socket
 - Hyperthreading enabled
 - > Cache
 - L1i / L1d: 640 KB
 - L2: 20 MB
 - L3: 27.5 MB
 - > Memory
 - 192 GB DDR4
- Software
 - ➢ Intel VTune Profiler 2024.0.1
 - > Perf 5.4.248

HNSWLIB: Performance Comparison

Dataset: GIST (960)

Train / Test Split: 100K / 1K

No. of Threads: 40

	Flat	HNSW (ef_cons = 64, ef_search = 100, M = 32)
Index Size	367 MB	393 MB
Index Duration	0.239 s	7.198 s
Query Duration (Top K = 100)	1.757 s	0.247 s

ΗN	SWLIB: Performa	Index calculation is a			
Data	aset: GIST (960)		highly expensive operation as compared		
Trai	n / Test Split: 100K / 1K	to searches in HNSW			
No.	of Threads: 40				
		Flat	HNSW (ef_cons = 64, ef_search = 100, M = 32)		
	Index Size	367 MB	393/MB		
	Index Duration	7.198 s			
	Query Duration (Top K = 100)	1.757 s	0.247 s		

HNSWLIB: CPU Hotspot Analysis

(91.76%	profile_hnswlib	profile_hnswlib	[.] hnswlib::L2SqrSIMD16ExtSSE
	3.36%	profile_hnswlib	libgomp.so.1.0.0	[.] omp_get_num_procs
	0.90%	swapper	[kernel.kallsyms]	[k] intel_idle
Flat	0.79%	profile_hnswlib	[kernel.kallsyms]	<pre>[k] copy_user_enhanced_fast_string</pre>
Flat	0.48%	profile_hnswlib	libc-2.31.so	<pre>[.]memcpy_avx_unaligned_erms</pre>
	0.27%	profile_hnswlib	[kernel.kallsyms]	[k] _raw_spin_lock
	0.23%	profile_hnswlib	profile_hnswlib	<pre>[.] hnswlib::BruteforceSearch<float>::searchKnn</float></pre>

	45.59%	profile_hnswlib	profile_hnswlib	[.] hnswlib::L2SqrSIMD16ExtSSE
	11.74%	profile_hnswlib	libgomp.so.1.0.0	[.] omp_get_num_procs
	5.93%	swapper	[kernel.kallsyms]	[k] intel_idle
	5.81%	profile_hnswlib	[kernel.kallsyms]	<pre>[k] copy_user_enhanced_fast_string</pre>
HNSW	4.82%	profile_hnswlib	profile_hnswlib	[.] hnswlib::HierarchicalNSW <float>::searchBas</float>
	3.13%	profile_hnswlib	libc-2.31.so	<pre>[.]memcpy_avx_unaligned_erms</pre>
	2.56%	swapper	[unknown]	[.] 000000000000000

Distance calculations (L2) takes a major chunk of the execution time

HNSWLIB: Memory Access Analysis

Instruction	% of L2SqrSIMD in Flat	% of L2SqrSIMD in HNSW		
movups	41.18	86.74		
addps	43.43	7.27		
subps	0.61	1.24		
mulps	7.38	0.78		

Distance calculation (L2) in HNSW is more memory bound than Flat due to aggressive prefetching

Qdrant: CPU Hotspot Analysis

Elapsed Time[®]: 122.915s

\odot	CPU Time 1:	239.192s
	Total Thread Count:	449
	Paused Time 12:	0s

Top Hotspots

This section lists the most active functions in your application. Optimizing these hotspot functions typically results in improving overall application performance.

Function	Module	CPU Time	% of CPU Time
segment::spaces::simple_avx::dot_similarity_avx::he0656f57d193c9aa	qdrant	232.215s	97.1%
_\$LT\$segmentvector_storageraw_scorerRawScorerImpl\$LT\$TVector\$C\$TQueryScorer\$GT \$\$u20\$as\$u20\$segmentvector_storageraw_scorerRawScorer\$GT\$:::peek_top_all::h7f7a05 72eaed0ae9	qdrant	1.864s	0.8%
common::fixed_length_priority_queue::FixedLengthPriorityQueue\$LT\$T\$GT\$::push::h9555933f 1b8054be	qdrant	0.808s	0.3%
epoll_wait	libc.so. 6	0.760s	0.3%
_\$LT\$segmentspacessimpleDotProductMetric\$u20\$as\$u20\$segmentspacesmetricMetri c\$GT\$::similarity::h788ac744465c6b5a	qdrant	0.684s	0.3%
[Others]	N/A*	2.860s	1.2%

➢ Elapsed Time[®]: 122.332s ⊙ CPU Time[®]: 87.370s

Total Thread Count:	200
Paused Time 2:	0s

Top Hotspots

This section lists the most active functions in your application. Optimizing these hotspot functions typically results in improving overall application performance.

Function	Module	CPU ⑦ Time	% of CPU ⊚ Time
segment::spaces::simple_avx::dot_similarity_avx::he0656f57d193c9aa	qdrant	46.463s	53.2%
epoll_wait	libc.so.6	4.458s	5.1%
segment::index::hnsw_index::hnswINSWIndex\$LT\$TGraphLinks\$GT\$::search_with _graph::h5aad91e8607d78ab	qdrant	2.729s	3.1%
syscall	libc.so.6	2.607s	3.0%
write	libpthread.s o.0	1.688s	1.9%
[Others]	N/A*	29.425s	33.7%

*N/A is applied to non-summable metrics

Flat

HNSW

Distance calculations (Dot Product) dominate the execution time

Qdrant: Memory Access Analysis

		_						
Function / Call Stack	CPU Time 🔻		Memory Bound	Loads	Stores	LLC Miss Count	Average Latency (cycles)	Module
segment::spaces::simple_avx::dot_similarity_avx::he06{	217.755s		80.0%	112,072,553,108	10,047,542	16,394,674,965	120	qdrant
_\$LT\$segmentvector_storageraw_scorerRawScorer	2.040s		82.9%	3,950,180,460	5,093,750,253	0	7	qdrant
smp_call_function_single	1.415s		37.9%	20,053,973	10,047,542	0	586	vmlinux
swapgs_restore_regs_and_return_to_usermode	1.040s		0.0%	70,250,572	10,006,431	0	8	vmlinux

Flat

Function / Call Stack	CPU Time	Memory Bound	Loads	Stores	LLC Miss Count	Average Latency (cycles)	Module
segment::spaces::simple_avx::dot_similarity_avx::he06{	28.205s	84.1%	8,834,440,834	25,485,511	1,087,687,953	69	qdrant
segment::index::hnsw_index::hnsw::HNSWIndex\$LT\$T	2.670s	62.0%	314,894,531	138,670,884	0	31	qdrant
serde_json::de::Deserializer\$LT\$R\$GT\$::parse_decima	2.250s 🔋	21.6%	954,465,540	808,302,238	0	7	qdrant
serde::de::Deserializer::deserialize_content::h9d9545	1.875s 🔋	0.0%	1,034,131,175	587,516,780	0	30	qdrant
regex::backtrack::Bounded\$LT\$I\$GT\$::backtrack::hf360	1.795s 🔋	2.0%	1,392,025,584	621,750,220	0	7	qdrant

HNSW

Distance calculations (Dot Product) are highly memory-bound

PGVector: Performance Comparison

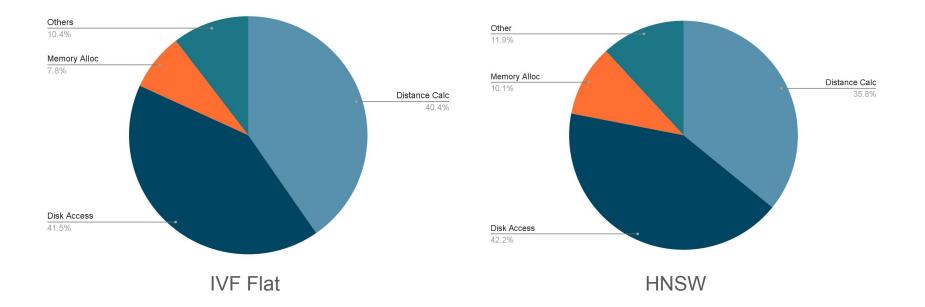
Dataset: <u>dbpedia-entities-openai-1M</u> (1536)

Train / Test Split: 100K / 1K

No. of Threads: 1

	IVF Flat (n_list = 100, n_probe = 10)	HNSW (ef_cons = 64, ef_search = 100, M = 32)
Index Size	782 MB	781 MB
Index Duration	27.50 s	150.24 s
Query Duration (Top K = 100)	147.63 s	89.82 s

PGVector: CPU Hotspot Analysis

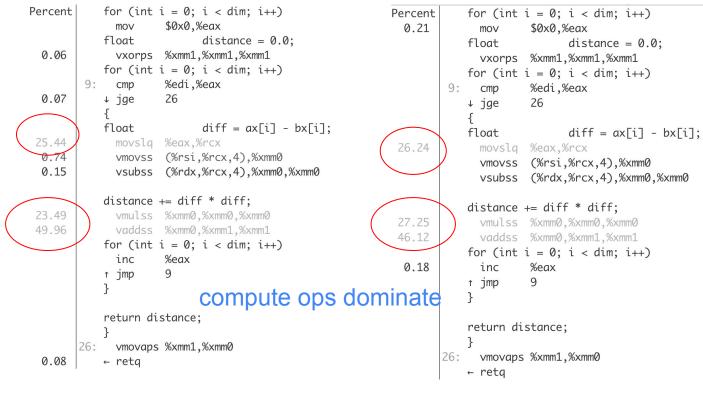


After distance calculations, file reads comprise a significant chunk of the execution time

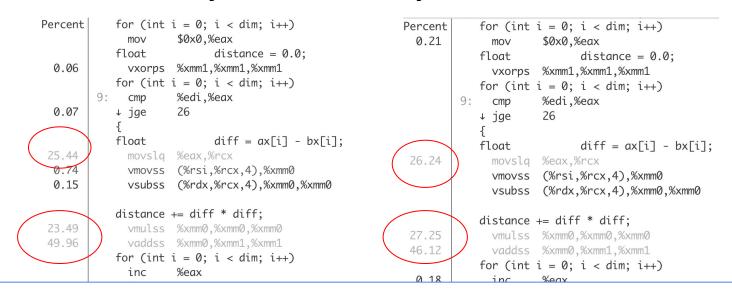
PGVector: Performance Comparison

Dataset: dbpedia-entities-openai-1M (1536)			Not much diff due to
Train / Test Split: 100K / 1K			high overhead of disk
No. of Threads: 1			access
		IVF Flat (n_list = 100, n_probe = 10)	HNSW (ef_cons = 64, ef_search = 100, M = 32)
	Index Size	782 MB	781 MB
	Index Duration	27.50 s	150/24 s
	Query Duration (Top K = (100)	147.63 s	89.82 s

PGVector: Memory Access Analysis



PGVector: Memory Access Analysis



CPU is waiting for disk I/O, and can't issue memory load instructions, so its compute bound

Future Work

- Perform billion-scale experiments / profiles (Please provide me hardware !)
- Study GPU-based indexes and their performance characteristics
- Explore offloading distance calculations to specialized accelerators
- Leverage far memory to store larger-than-memory indexes
- Explore offloading vector search to computational storage devices

Thank You

Questions?

jayjeetc@ucsc.edu jayjeetc.github.io